

Flehr, Hohbach, Test,  
Albritton & Herbert File No. A-58149-3/BIR CSHL91-02AAA  
Applicant: D.H. Beach et al.

Serial No. 07/991,997

For: CYCLIN COMPLEX REARRANGEMENT AND USES RELATED  
THERETO

Sir:

Please acknowledge receipt of the enclosed

Recordation form cover sheet, Transmittal of assignment

Assignment.

by imprinting the Patent Office "date stamp"  
hereon and returning to the addressee indicated  
on the reverse.

Due: N/A

Certificate  
of Mailing: April 14, 93

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	)	Examiner: Not yet assigned
	)	
David H. BEACH et al.	)	Group Art Unit: Not yet assigned
	)	
Serial No.: 07/991,997	)	Atty's Docket: A-58149-3/BIR
	)	CSHL-91-02AAA
Filed: December 17, 1992	)	
	)	San Francisco, CA 94111
For: CYCLIN COMPLEX	)	
REARRANGEMENT AND USES	)	
RELATED THERETO	)	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on April 14, 1993.

*Maha A. Hamdan*  
Maha A. Hamdan

TRANSMITTAL OF ASSIGNMENT

Commissioner of Patents  
and Trademarks  
Washington, DC 20231

Sir:

Please file the enclosed Assignment of the above-referenced application to Cold Spring Harbor Laboratory.

Respectfully submitted,

FLEHR, HOHBACH, TEST,  
ALBRITTON & HERBERT

*Bertram I. Rowland*  
Bertram I. Rowland  
Reg. No. 20,015

Four Embarcadero Center  
Suite 3400  
San Francisco, CA 94111-4187  
Telephone: (415) 781-1989

Dated: April 14, 1993

RECORDATION FORM COVER SHEET  
**PATENTS ONLY**

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

David H. Beach  
Yue Xiong

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: February 26, 1993

2. Name and address of receiving party(ies):

Name: Cold Spring Harbor Laboratory

Internal Address: \_\_\_\_\_

Street Address: 100 Bungtown Road

City: Cold Spring Harbor State: NY ZIP: 11724

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

07/991,997

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bertram I. Rowland, Ph.D.

Internal Address: Flehr, Hohbach, Test, et al.

Street Address: 4 Embarcadero Center, Suite 3400

City: San Francisco State: CA ZIP: 94111

6. Total number of applications and patents involved: -1-

7. Total fee (37 CFR 3.41): ..... \$40.00

☐ Enclosed

☒ Authorized to be charged top deposit account

8. Deposit account number:

A-58149-3/BIR

(Attach duplicate copy of this page if paying by deposit account)

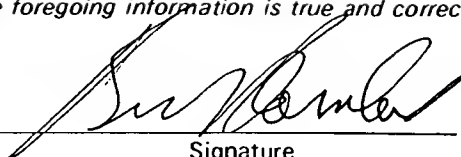
DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Bertram I. Rowland, Ph.D.

Name of Person Signing



Signature

April 14, 1993

Date

Total number of pages comprising cover sheet: -1-

Joint

ASSIGNMENT

WHEREAS, we, David H. Beach and Yue Xiong have invented a certain improvement in CYCLIN COMPLEX REARRANGEMENT AND USES RELATED THERETO described in an application for Letters Patent of the United States, Serial No. 07/991,997, filed December 17, 1992:

WHEREAS, Cold Spring Harbor Laboratory (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the State of New York, and having a usual place of business at 100 Bungtown Road, Cold Spring Harbor, New York 11724 desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of the said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to the said invention as described in said application, together with the entire right, title and interest in and to the said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives,

but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives;

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor: David H. Beach  
David H. Beach

State/Commonwealth  
of NEW YORK  
County of NASSAU

Then personally appeared before me the above-named David H. Beach and acknowledged that he executed the foregoing instrument as his free act and deed this 26TH day of FEBRUARY, 1993.

(SEAL)

Guido Cozza Notary Public  
GUIDO COZZA (print name)  
My Commission expires 1/31/94

**GUIDO COZZA**  
Notary Public, State of New York  
No. 30-4643488  
Qualified in Nassau County  
Commission Expires Jan. 31, 1994

Inventor: \_\_\_\_\_

Yue Xiong

State/Commonwealth

of NEW YORK

County of NASSAU

Then personally appeared before me the above-named  
Yue Xiong and acknowledged that he executed  
the foregoing instrument as his free act and  
deed this 26TH day of FEBRUARY, 1993

(SEAL)

Guido Cozza  
GUIDO COZZA

Notary Public

(print name)

My Commission expires 1 / 31 / 94

**GUIDO COZZA**  
Notary Public, State of New York  
No. 30-4643488  
Qualified in Nassau County  
Commission Expires Jan. 31, 1994